


<b>Application Number</b> 	<b>Application/Control No.</b> 09/620,053	<b>Applicant(s)/Patent under Reexamination</b> CAO, YANG	
<b>Document Code - DISQ</b>	<b>Internal Document – DO NOT MAIL</b>		

<b>TERMINAL DISCLAIMER</b>	<input checked="" type="checkbox"/> <b>APPROVED</b>	<input type="checkbox"/> <b>DISAPPROVED</b>
<b>Date Filed : July 11, 2007</b>	<b>This patent is subject to a Terminal Disclaimer</b>	

<b>Approved/Disapproved by:</b>
Henry D. Jefferson

# **T.D. INFORMAL MEMO: DO NOT MAIL THIS MEMO TO APPLICANT**

Date:	<input type="text" value="26-Jul-07"/>	APPL. S. N:	<input type="text" value="09620053"/>
To Examiner:	<input type="text" value="MOORE, IAN"/>	Art Unit	<input type="text" value="2616"/>
From	<input type="text" value="Gunter-Riley, Joyce"/> PARALEGAL SPCECIALIST	Return This Memo To: Case Drop-Off Location	<input type="text" value="JEF-2D68"/>

**SUBJECT:** Decision on Terminal Disclaimer(T.D.) filed:

INSTRUCTIONS: I have reviewed the submitted T.D. with the results as set forth below. If you agree, please use the appropriate form paragraphs identified by this informal memo in your next Office action to notify applicant of the T.D. If you disagree or have any questions, please see me or the Special Program Examiner. THIS IS AN INFORMAL, INTERNAL MEMO ONLY. IT MUST NOT BE (1) MAILED TO APPLICANT OR (2) PLACED OF RECORD IN THE APPLICATION FILE. When your action is complete,

please initial, date and return this memo to me. THANK YOU.

- ☒ The T.D. is PROPER and has been recorded (see 14.23).
- ☐ The T.D. is NOT PROPER and has not been accepted for the reason(s) checked below (see 14.24):
- ☐ The TD fee of  has not been submitted nor is there any authorization in the application file for the use of a deposit account
  - ☐ The T.D. does not satisfy Rule 321 in that the person who has signed the T.D. has not stated the extent of his/her interest (and/or the extent of the interest of the business entity represented by the signature) in the application/patent (see 14.26 & 14.26.01).
  - ☐ The T.D. lacks the enforceable only during common ownership clause – needed to overcome a non-statutory double patenting rejection, Rule 321(b) (see 14.27.01).
  - ☐ The T.D. is directed to a particular claim(s), which is not acceptable since "the disclaimer must be for a terminal portion of the term of the entire patent to be granted" (MPEP 1490) (see 14.26 & 14.26.02).
  - ☐ The person who signed the T.D.:
    - ☐ is not an attorney "of record" (see 14.29 and 14.29.01).
    - ☐ has failed to state his/her capacity to sign for the business entity (see 14.28).
    - ☐ is not recognized as an officer of the assignee (see 14.29 & possible 14.29.02).
  - ☐ No documentary evidence of a chain of title from the original inventor(s) to assignee has been submitted, nor is the reel and frame number specified as to where such evidence is recorded in the Office (see 37 CFR 3.73(b) and 1140 O.G. 72). NOTE: This documentary evidence or the specifying of the reel and frame number may be found in the T.D. or in a separate paper of record in the application (see 14.30).
  - ☐ The T.D. is not signed (see 14.26 & 14.26.03).
  - ☐ The serial number of the application (or the number of the patent) which forms the basis for the double patenting rejection is missing or incorrect (see 14.32).
  - ☐ The serial number of this application (or the number of the patent in reexam or reissue cases being disclaimed is missing or incorrect (see 14.26, 14.27.02 or 14.26.05).
  - ☐ The period disclaimed is incorrect or not specified (see 14.26, 14.27.02 or 14.26.03).
  - ☐ Other:
  - ☐ Suggestion to request refund (see 14.36). NOTE: If already authorized, credit refund to deposit account and do not check this item.

I have appropriately notified applicant(s) of the status of the Terminal Disclaimer filed in this case.

Ex.Initials: \_\_\_\_\_ Date: \_\_\_\_\_

Log Date: \_\_\_\_\_

**TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING  
REJECTION OVER A PRIOR PATENT**Docket Number  
129250-000971/US

In re Application of: Yang Cao

Application No. 09/620,053

Filed: July 20, 2000

For: APPARATUS AND METHOD FOR SYNCHRONOUS AND ASYNCHRONOUS SWITCHING OF INTERNET  
PROTOCOL TRAFFIC

Lucent Technologies, Inc.

- ☐ residing at  
☒ a corporation of Delaware having a principal place of business at 600 MOUNTAIN Avenue,  
 Murry Hill, New Jersey 07974-0636,  
☐ a university having an address of

represents that it is the true owner of the entire interest of U.S. patent Application No. 09/620,053, filed July 20, 2000  
 entitled Apparatus and Method for Synchronous and Asynchronous Switching of Internet Protocol Traffic" (hereinafter  
 "instant application") by virtue of and as evidenced by an Assignment recorded at the United States Patent and Trademark  
 Office at Reel 011018, Frame 0738.

The owner, Lucent Technologies Inc. of 100 percent interest in the instant application hereby disclaims,  
 except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which  
 would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently  
 shortened by any terminal disclaimer, of prior Patent No. 6,865,179. The owner hereby agrees that any patent so  
 granted on the instant application shall be enforceable only for and during such period that it and the prior patent are  
 commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the  
 grantee, its successors or assigns.

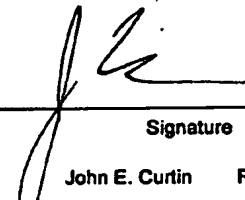
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the  
 instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156  
 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure  
 to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily  
 disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims cancelled by a reexamination  
 certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently  
 shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☒ For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency,  
 etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on  
 information and belief are believed to be true; and further that these statements were made with the knowledge that  
 willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title  
 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any  
 patent issued thereon.

2. ☒ The undersigned is an attorney of record.

  
 Signature Date 7/11/07  
 John E. Curtin Reg. No. 37,602  
 Typed or printed name 87/12/2007 JAC001 00000007-0962005

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139.00 00

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) is included.

**WARNING: Information on this form may become public. Credit card information should not  
 be included on this form. Provide credit card information and authorization on PTO-2038.**

\*Certification under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).  
 Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
 comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office,  
 Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents,  
 Washington, DC 20231.